

# Modulated Sparse Regression Codes

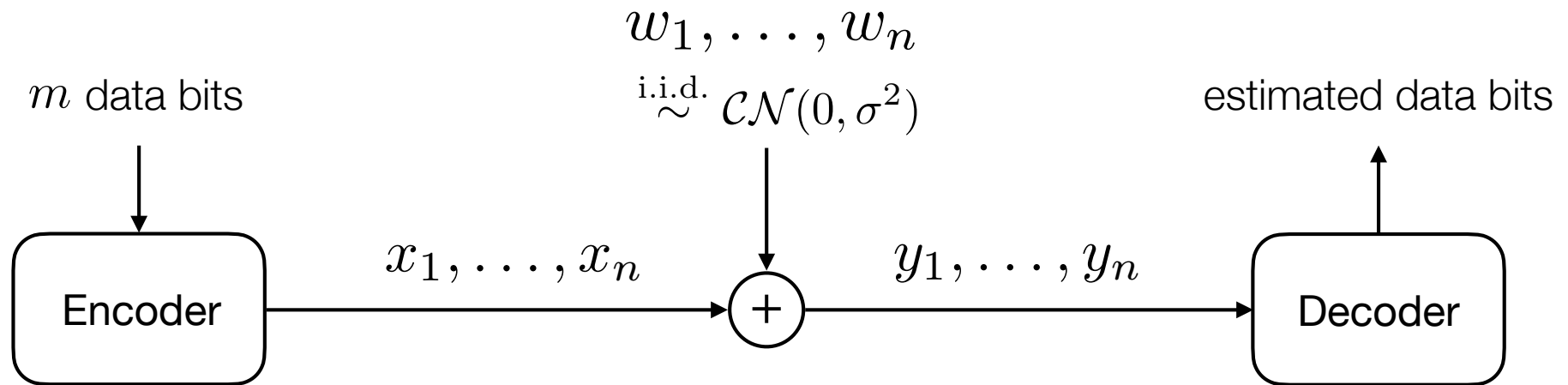
Kuan Hsieh and Ramji Venkataramanan

University of Cambridge, UK

ISIT, June 2020

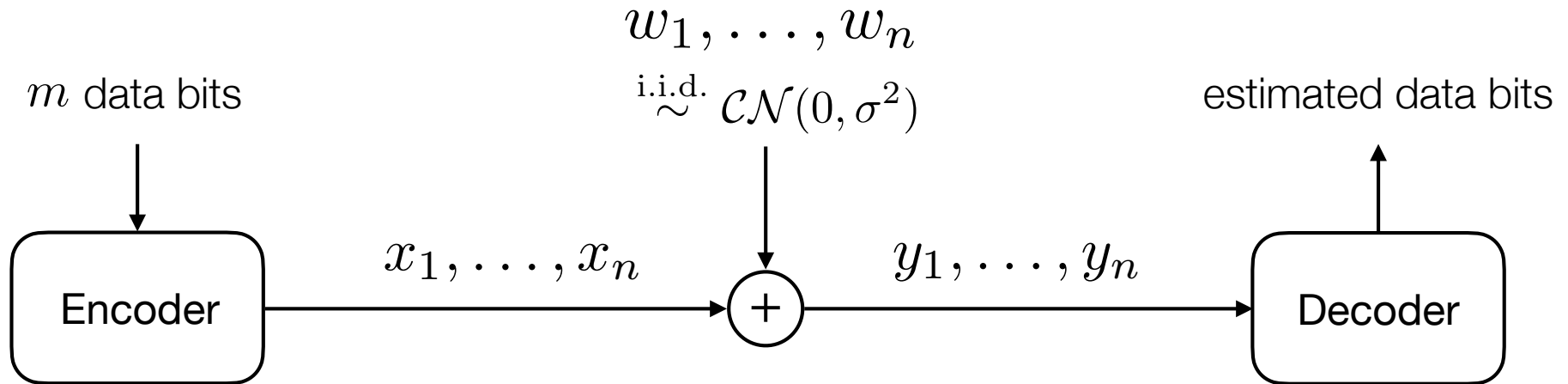


# Complex AWGN channel communication



$$\mathbf{y} = \mathbf{x} + \mathbf{w}$$

# Complex AWGN channel communication



**Rate**

$$R = \frac{m}{n}$$

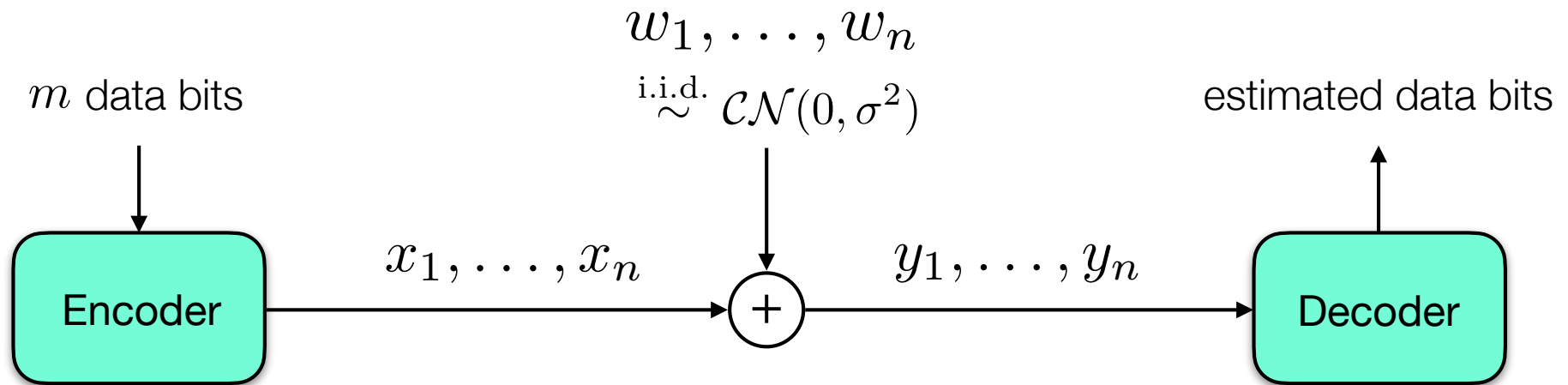
**Power constraint**

$$\frac{1}{n} \sum_{i=1}^n |x_i|^2 \leq P$$

**Channel capacity**

$$C = \log \left( 1 + \frac{P}{\sigma^2} \right)$$

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# Sparse regression codes (SPARCs)

## Encoding

$$\mathbf{x} = \mathbf{A}\boldsymbol{\beta}$$

Codeword  $\mathbf{x}$   
 $[x_1, \dots, x_n]^\top$

Design matrix  $\mathbf{A}$   
ind. Gaussian entries

(sparse)  
Message vector  $\boldsymbol{\beta}$   
encodes data bits

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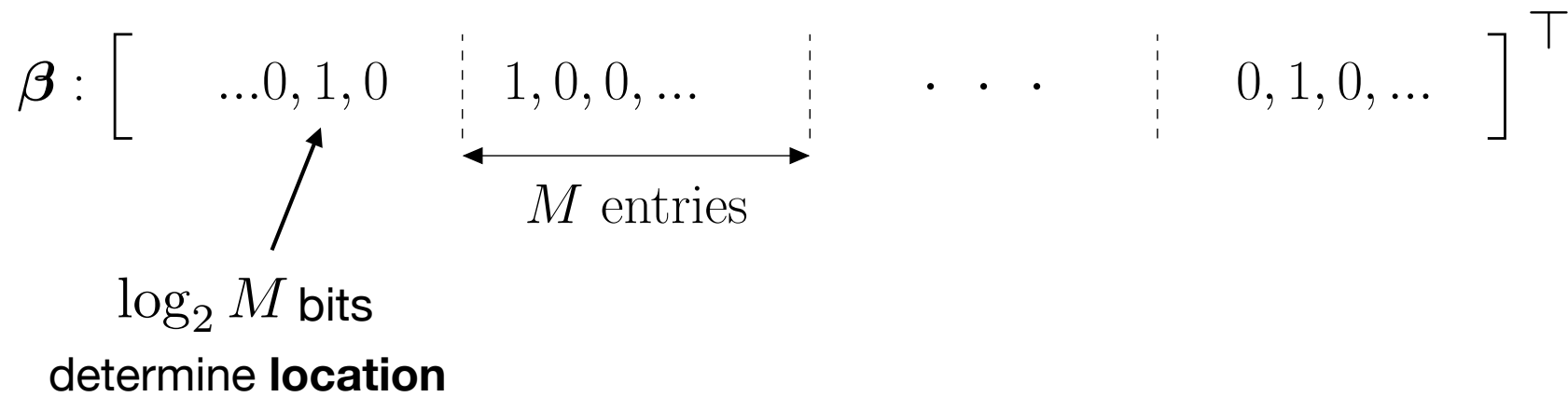
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## Decoding

Estimate  $\boldsymbol{\beta}$  given  $\mathbf{y} = \mathbf{A}\boldsymbol{\beta} + \mathbf{w}$

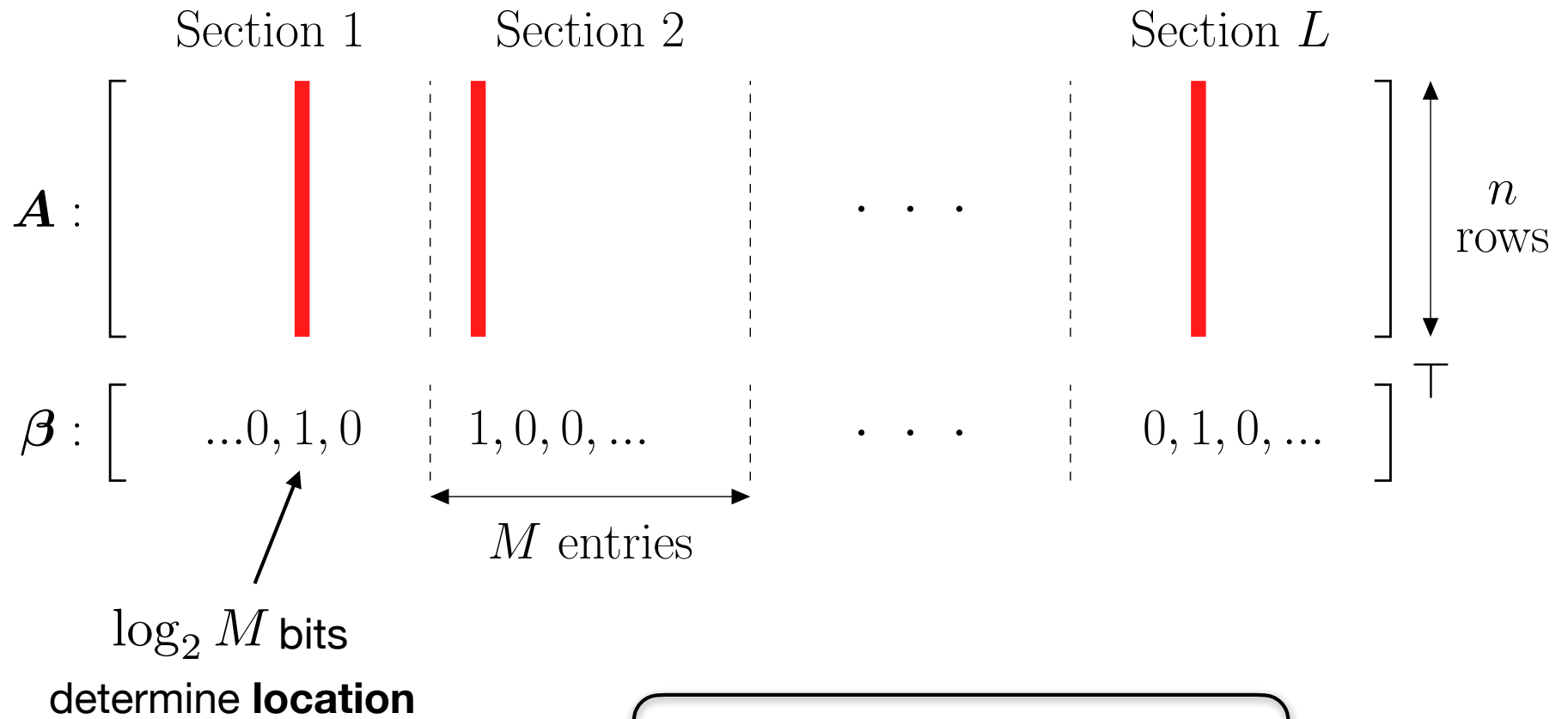
# SPARC encoding

$$x = A\beta$$



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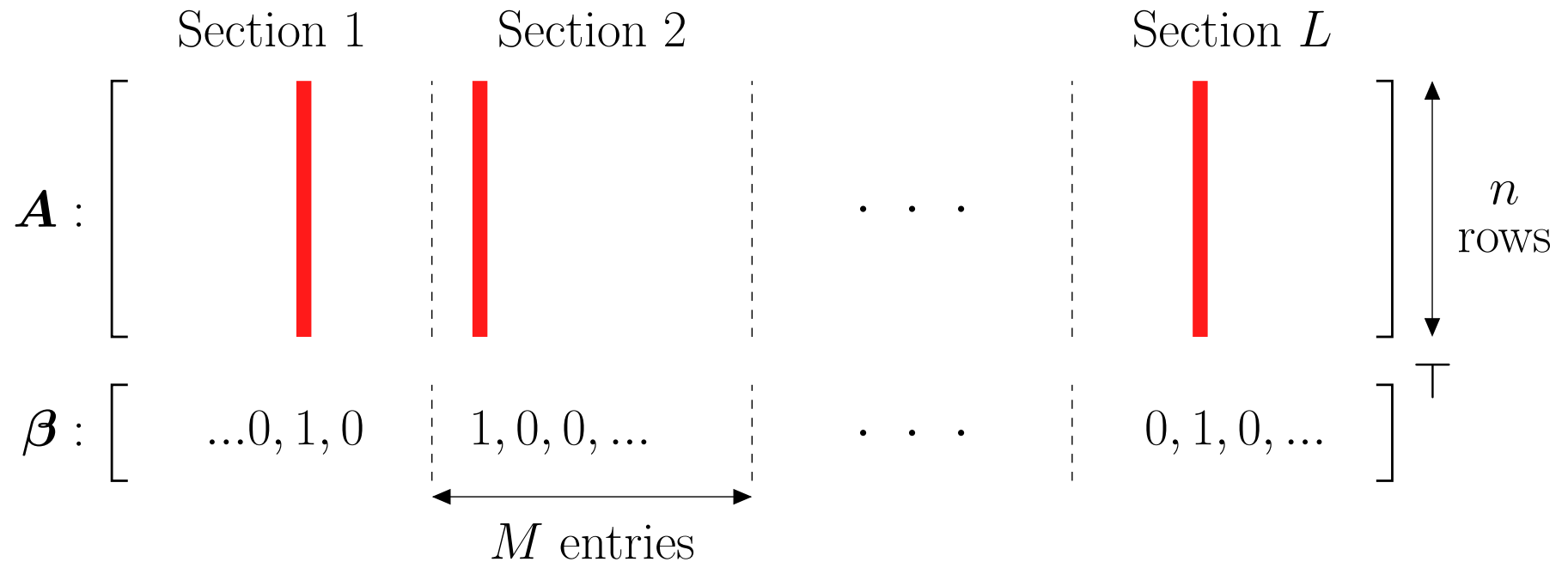
$$x = A\beta$$



$$\text{Rate } R = \frac{L \log M}{n}$$



# SPARC decoding



Estimate  $\beta$  given  $y = A\beta + w$

Section Error Rate:  
(SER)  $\frac{1}{L} \sum_{\ell=1}^L \mathbb{1} \left\{ \hat{\beta}_{\ell} \neq \beta_{\ell} \right\}$

# Previous results on (unmodulated) SPARCs

Maximum likelihood decoding

[Joseph and Barron '12]

## Matrix designs + efficient decoding

### Power allocation

Adaptive, Successive Hard-thresholding

[Joseph and Barron '14]

Adaptive, Successive Soft-thresholding

[Cho and Barron '13]

Approximate Message Passing

[Barbier and Krzakala '17]

[Rush, Greig and Venkataramanan '17]

### Spatial coupling

Approximate Message Passing

[Barbier et al. '14-'19]

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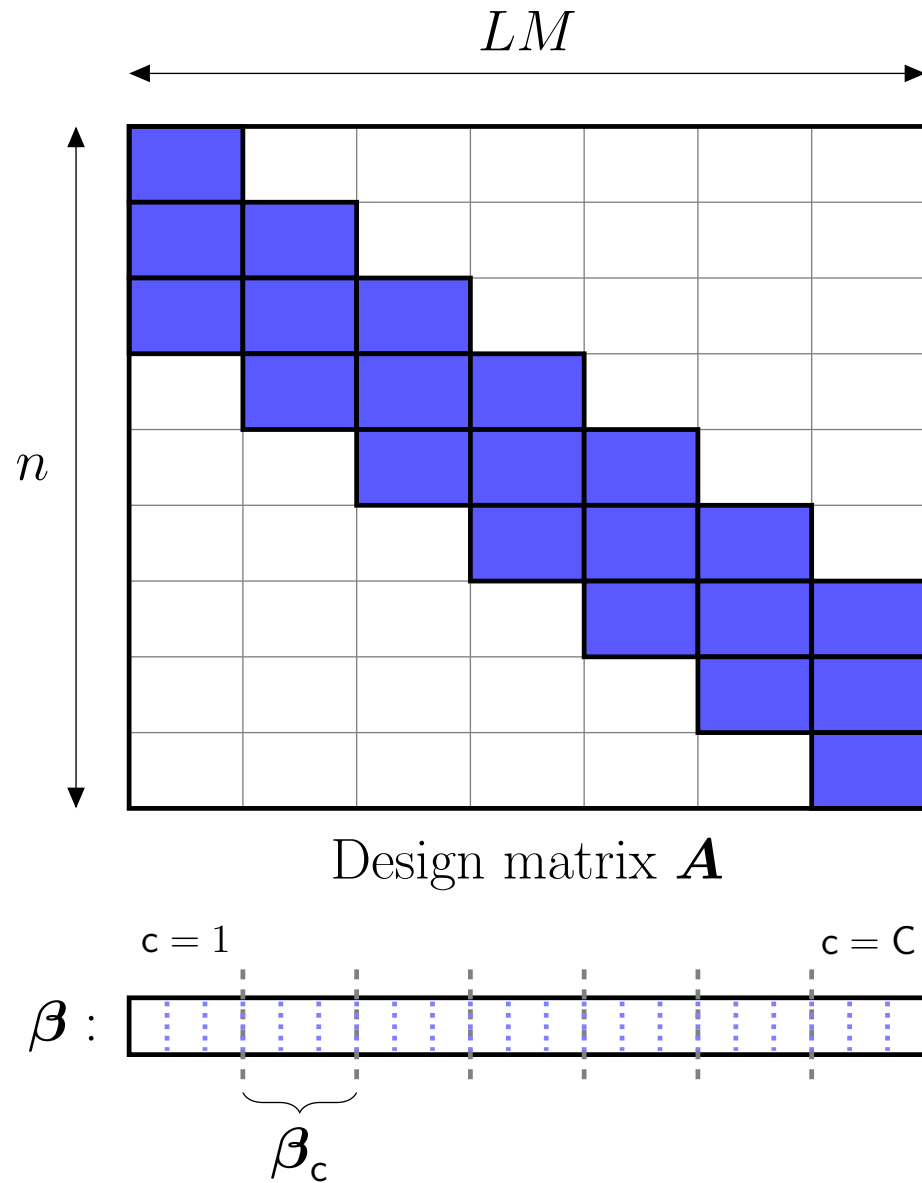
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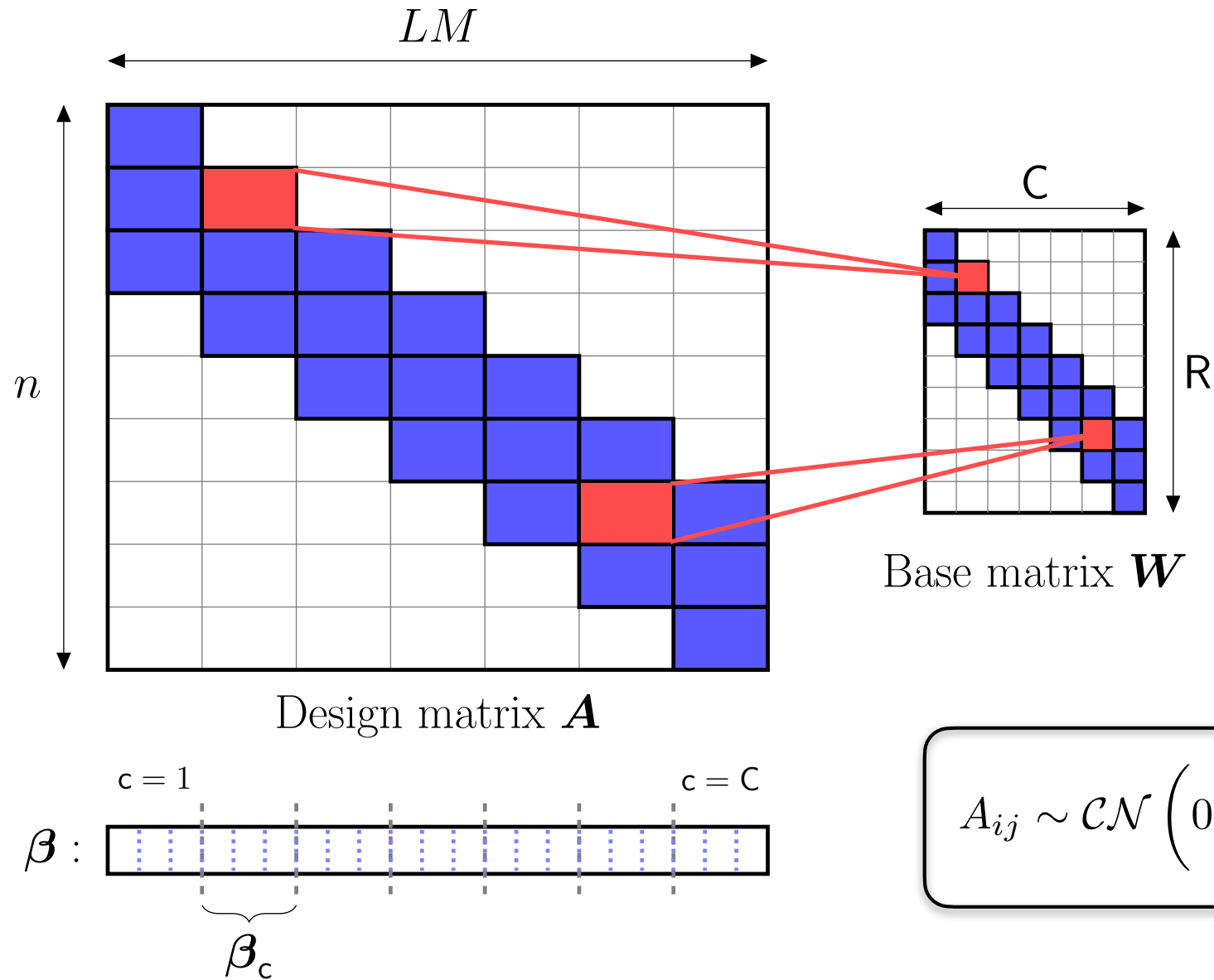
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# Spatial coupling



# Spatial coupling



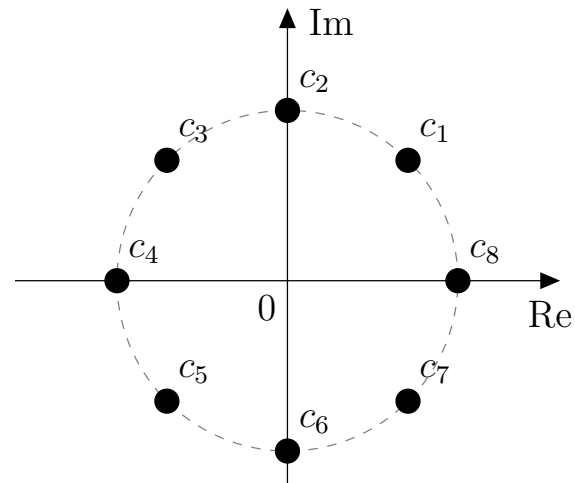
$$A_{ij} \sim \mathcal{CN} \left( 0, \frac{1}{L} W_{r(i),c(j)} \right)$$

# Modulated SPARC encoding $x = A\beta$

$$\beta : \left[ \begin{array}{c|c|c} \dots 0, a_1, 0 & a_2, 0, 0, \dots & \dots \\ \hline & \xrightarrow{M \text{ entries}} & \\ \hline & & \dots \quad \vdots \quad \dots \\ \hline & & \dots \quad 0, a_L, 0, \dots \end{array} \right]^T$$

$\log_2 M$  bits  
determine **location**

$\log_2 K$  bits  
determine **value**



E.g. 8-PSK

$$R = \frac{L \log(KM)}{n}$$

K-ary  
Phase Shift Keying  
(PSK)

# AMP decoding $y = A\beta + w$

Initialise  $\hat{\beta}^0$  to all-zero vector. For  $t = 0, 1, 2 \dots$

$$z^t = y - A\hat{\beta}^t + v^t \odot z^{t-1}$$

$$\hat{\beta}^{t+1} = \eta \left( \hat{\beta}^t + (S^t \odot A)^* z^t, \tau^t \right)$$

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Effective noise variance

$\approx \boldsymbol{\beta} + \text{Gaussian noise}$

Bayes-optimal estimator

$$\eta_j(\mathbf{s}, \boldsymbol{\tau}) = \mathbb{E} \left[ \beta_j \mid \mathbf{s} = \boldsymbol{\beta} + \sqrt{\boldsymbol{\tau}} \odot \mathbf{u} \right]$$

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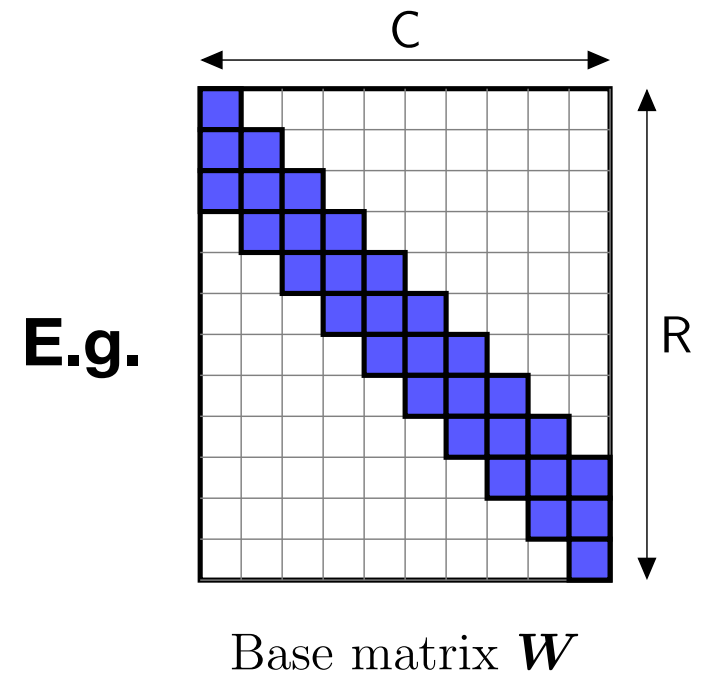
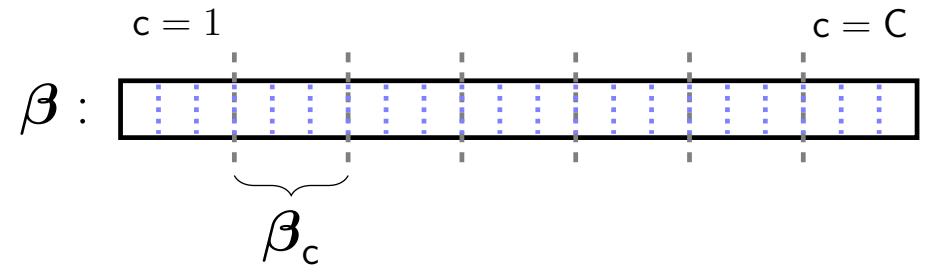
**State evolution predicts**

$$\|\hat{\boldsymbol{\beta}}^t - \boldsymbol{\beta}\|^2$$

# State evolution for K-PSK modulated SPARCs

For large  $n$  and  $L$

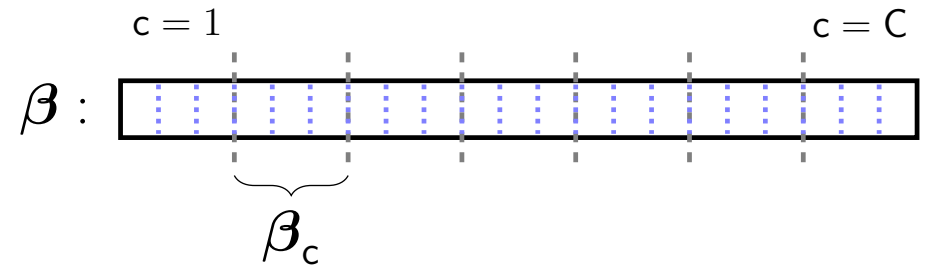
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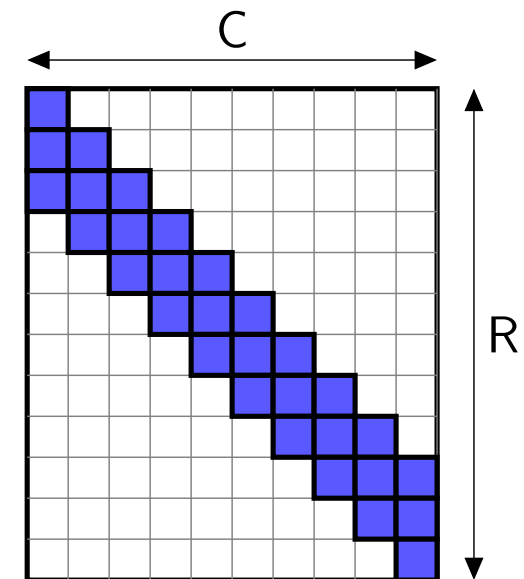
Initialise  $\psi_c^0 = 1$  for  $c = 1, \dots, C$ . For  $t = 0, 1, 2 \dots$

$$\phi_r^t = \sigma^2 + \frac{1}{C} \sum_{c=1}^C W_{rc} \psi_c^t,$$

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E.g.



Base matrix  $W$

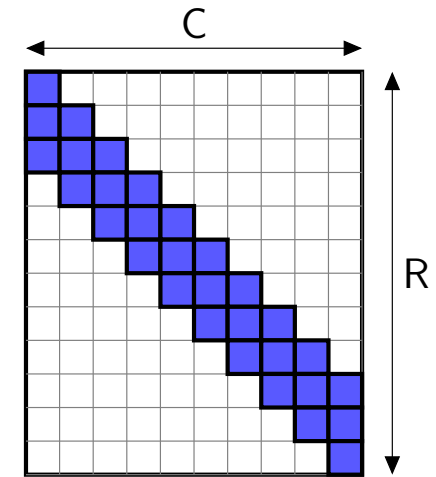
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For  $\delta \in (0, \frac{1}{2})$  and  $\nu_c^t = \frac{1}{\tau_c^t \log(KM)}$ ,

**Main result**

$$\psi_c^{t+1} \leq \begin{cases} \frac{(KM)^{-\alpha_1 K \delta^2}}{\delta \sqrt{\log(KM)}} & \text{if } \nu_c^t > 2 + \delta, \\ 1 + \frac{(KM)^{-\alpha_2 K \nu_c^t}}{\sqrt{\nu_c^t \log(KM)}} & \text{otherwise.} \end{cases}$$

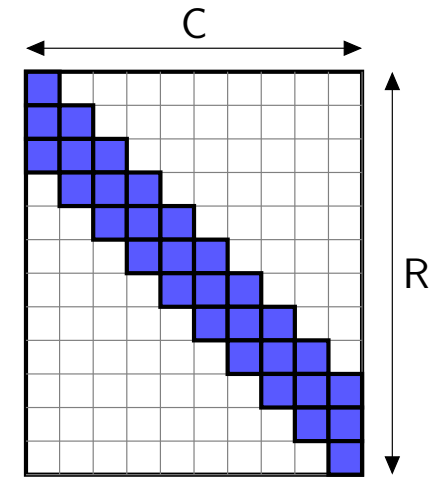
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# Asymptotic SE for K-PSK modulated SPARCs

For fixed  $K$ , as  $M \rightarrow \infty$  the state evolution simplifies to:

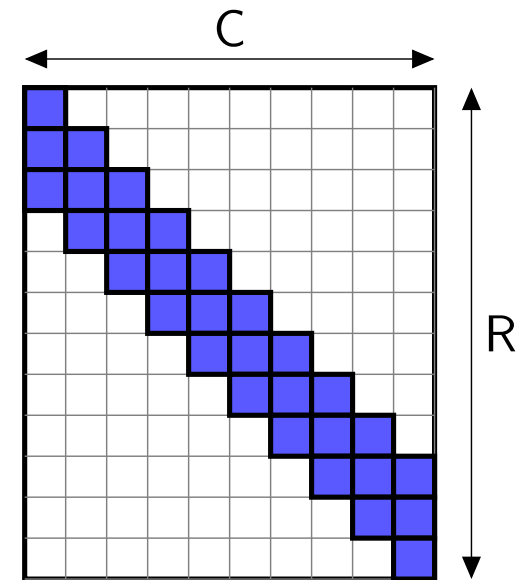
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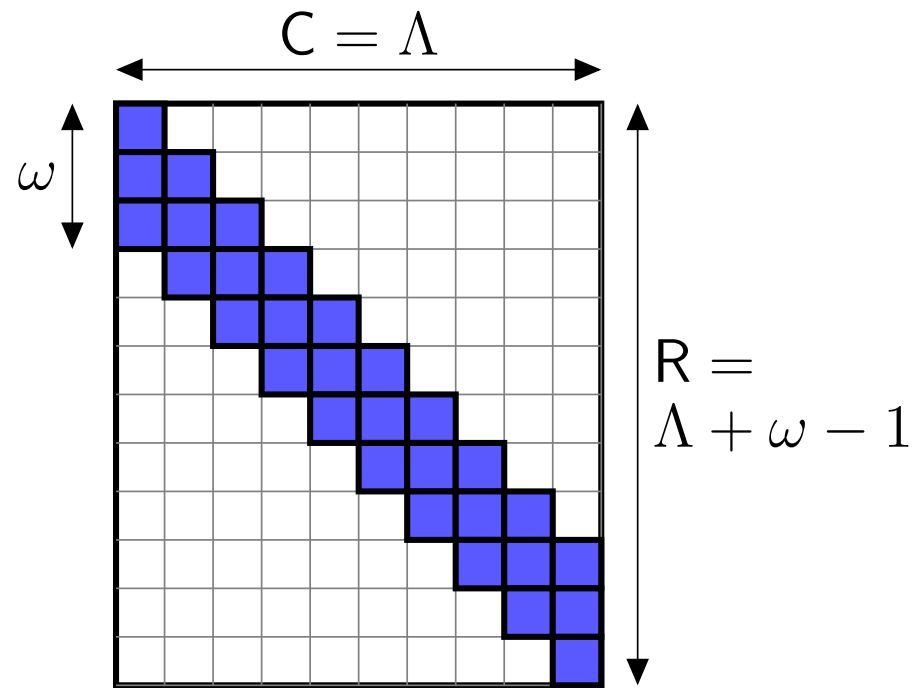
**Does not depend on  $K$**

**E.g.**



Base matrix  $W$

# Theorem for K-PSK modulated SPARCs



$(\omega, \Lambda)$  base matrix  $\mathbf{W}$

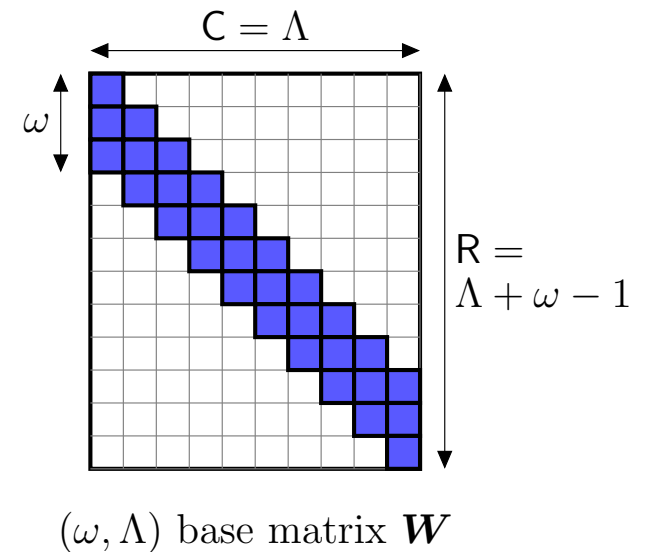


# Theorem for K-PSK modulated SPARCs

Consider a  $K$ -PSK modulated complex SPARC constructed with an  $(\omega, \Lambda)$  base matrix  $\mathbf{W}$  with  $\omega > \omega^*$  and rate satisfying  $R < \tilde{\mathcal{C}} := \mathcal{C}/(1 + \frac{\omega-1}{\Lambda})$ .

As  $n \rightarrow \infty$ , the SER of the AMP decoder after  $T$  iterations = 0 almost surely, where

$$T \propto \frac{\Lambda}{2\omega(\tilde{\mathcal{C}} - R)}.$$



# Steps of proof

1. Error rate of AMP accurately predicted by state evolution for large code lengths.

By extending results in [Rush, Hsieh and Venkataramanan '20].

2. For any  $R < C$ , state evolution predicts vanishing error probability in the large system limit.

A. Asymptotic state evolution is the same for any  $K$ .

Shown in this work.

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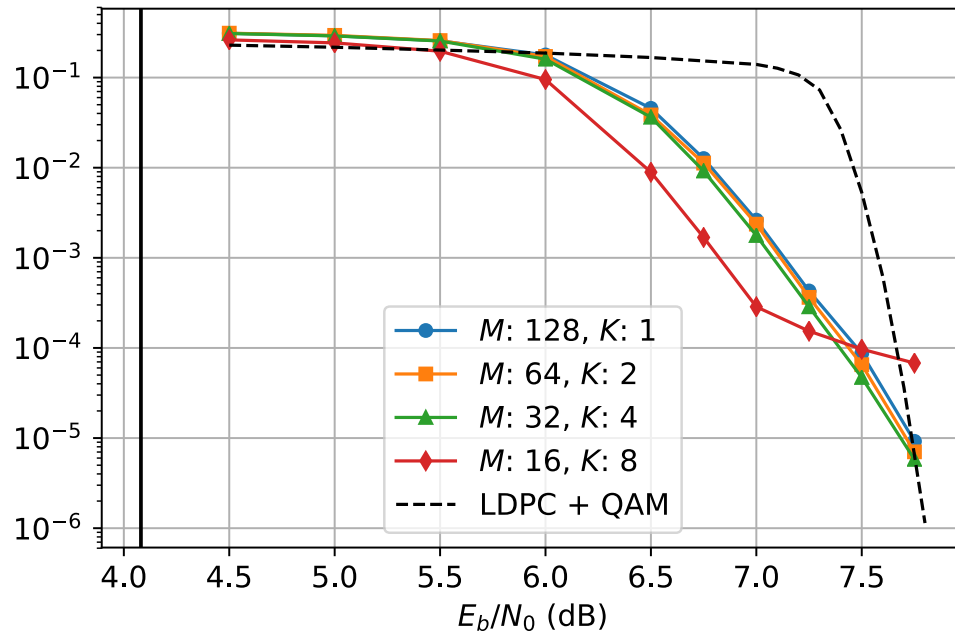
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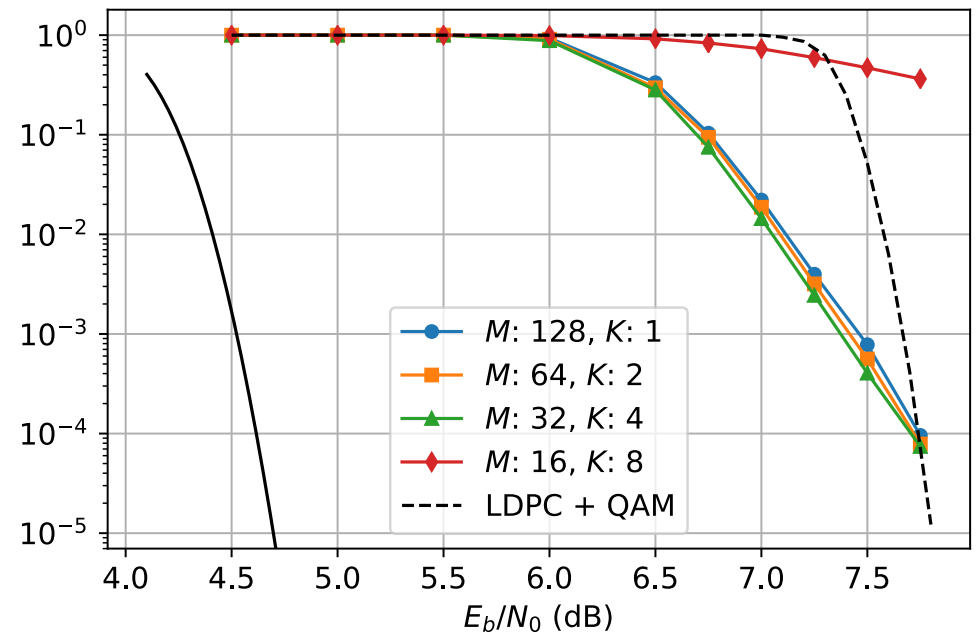
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# Simulation results



Bit error rate



Codeword error rate

$R = 1.6$  bits/dim.

$n \approx 2000$

$L = 960$

$\omega = 6, \Lambda = 32$

$$R = \frac{L \log(KM)}{n}$$

**Coded modulation**

(6480, 16200) LDPC

DVB-S2 standard

+256 QAM

# Computational benefits of modulation

Per iteration complexity AMP decoder (FFT based)

$$O(LM(\log(LM) + K))$$

Let  $M_{\text{unmod}} = KM_{\text{mod}}$ , then

$$\frac{\text{complexity for unmodulated SPARC}}{\text{complexity for modulated SPARC}} = K \cdot \frac{\log(LM_{\text{unmod}}) + 1}{\log(LM_{\text{unmod}}) + K - \log K}$$

If  $K \ll \log(LM_{\text{unmod}})$ , **approx.  $K$  times reduction**

(approx. 3.8x in simulation example using  $K = 4$ )

## Background

Sparse regression codes (SPARCs) for the AWGN channel

$$x = A\beta$$

## This work

1. SPARCs for the **complex** AWGN channel.
2. Introduce (PSK) **modulation** to SPARC encoding.

## Theoretical result

Complex SPARCs with K-PSK modulation are asymptotically capacity achieving for any fixed K

## Numerical result

Modulation can significantly reduce complexity without sacrificing error performance.